



501.39932X00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): J. NOGUCHI, et al
Serial No.: 09/825,946
Filed: April 5, 2001
For: SEMICONDUCTOR INTEGRATED CIRCUIT DEVICE AND
FABRICATION METHOD FOR SEMICONDUCTOR
INTEGRATED CIRCUIT DEVICE
Group: 2811
Examiner: H. Vu

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

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July 10, 2003

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Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find copies of documents to be considered by the Examiner during examination of the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits, after filing a Request for Continued Examination, in the above-identified application. Accordingly, requirements of 37 CFR § 1.97(b) are satisfied. See 37 CFR § 1.97(b)(4).

In any event, the following Statement is made under 37 CFR § 1.97(e):

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign

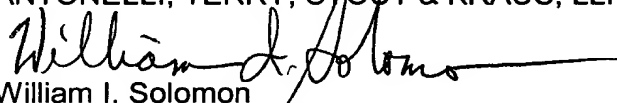
patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this Information Disclosure Statement.

In view of all of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with present submission of the enclosed documents. Accordingly, consideration of the submitted documents, upon further examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 501.39932X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DKT. NO. 501.39932X00	SERIAL NO. 09/825,946
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT J. NOGUCHI, et al.	
		FILING DATE April 5, 2001	GROUP 2811

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	6,521,532	2/18/2003	Cunningham			
AB	6,048,789	4/11/2000	Vines, et al.			
AC	6,348,402	2/19/2002	Kawanoue, et al.			
AD	6,355,571	3/12/2002	Huang, et al.			
AE	6,191,007	2/20/2001	Matsui, et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AF							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AK	
Examiner	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC